OPEN CALL for Danish Strategic Partners

Inclusive Refugee Response Programme, 2023-2026

Promotion of climate adapted self-reliance and resilience in refugee hosting areas in Turkana County through economic development and financial inclusion activities (2023-2026)

Application Form – **Full Proposal**

**Danida is partnering with the Poul Due Jensen/Grundfos Foundation to award a total of DKK 80 million for support to self-reliance, resilience building and access to water in Turkana country, Kenya**

We are looking for proposals aimed at improving climate adapted self-reliance and resilience in refugee hosting areas in Turkana County through economic development and financial inclusion activities.

By joining forces, we will build resilience and increase local communities’ ability to better cope with the effects of climate change by providing access to safe water and increased economic opportunities.

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Background

The Inclusive Refugee Response programme is a 3-year pilot programme under development by the Danish Ministry of Foreign Affairs. The programme is part of a Public-Private partnership alliance with LEGO Foundation, Novo Nordisk Foundation and Poul Due Jensen/Grundfos Foundation. The expected commencement date is 1st July 2023 and end date 30th June 2026.

The **development objective** of the programme is “to enhance self-reliance, resilience, and long-term stability for refugees and host communities in especially Turkana County by supporting Kenya’s national and locally led development efforts to improve access to inclusive basic social services, thereby contributing to a reduction in inequality and poverty.” The MFA programme has an overall budget of DKK 200 million over three years (pending parliamentary approval), whereas the Poul Due Jensen/Grundfos Foundation programme has an overall budget of DKK 50 million.

Turkana County is leading the way in piloting of socioeconomic integration of refugees and host communities through the Kalobeyei Integrated Socio-Economic Development Plan (KISEDP). KISEDP, which was developed through a partnership between the County Government, UNHCR and other development partners, aims to support a changed approach to providing assistance to refugees through the establishment of a settlement at Kalobeyei in Turkana West Sub-County. In the settlement both refugees and host populations will live together and access common services and support to strengthen their livelihoods and resilience. It is designed to be a multi-year, multi-agency plan that is fully mainstreamed into the County Integrated Development Plan for Turkana County.

The KISEDP II (2023-2027) was developed jointly by the Turkana county government and UNHCR. The plan is aligned with the main priorities in the County Integrated Development Plan, CIDP and aims to deliver joint projects (activities and outputs) in 8 specific areas. Component 3 refers to water, sanitation and hygiene and component 8 which revolves around private sector and entrepreneurship.

Instructions for applicants

Thank you for your interest in applying for support from the Inclusive Refugee Response Programme for Kenya in relation to the design and implementation of the component related to enhance refugees’ and host communities’ ability to be self-reliant and access to water. This component is part of a larger public-private Alliance between the Ministry of Foreign Affairs of Denmark and three Danish philanthropic foundations, namely Poul Due Jensen/Grundfos Foundation, Lego Foundations and Novo Nordisk Foundation. The concerned component is co-funded with Poul Due Jensen/Grundfos Foundation.

**Purpose and priorities of the call for proposal**

The Ministry of Foreign Affairs, Danida and Poul Due Jensen/Grundfos Foundation is launching a Call for Proposals focused on *Improved self-reliance, expanded economic opportunities, and strengthened resilience/climate adaptation for refugee and host community in Turkana County.* The project will support livelihoods and economic development activities that promote self-reliance and resilience including adaptive capacity of refugees and host communities in Turkana County. This project will through capacity development and direct support to self-reliance and resilience activities, including providing access to water, contribute to reduced poverty and improved resilience among refugees and host communities.

The call has two windows with connected objectives:

* Window 1: Provide effective and prioritised support to self-reliance, including to expanded economic opportunities and financial inclusion with a focus on strengthening resilience and climate adaptive capacity of refugees and host communities in Turkana County.
* Window 2: Enhance access to safe water in host and refugee settings in Turkana County, including for livelihood/productive use and improved sanitation in a sustainable way. All the tree elements of sustainability (Financial, Environment and social) need to be addressed.

Project proposal **must** be implemented in Turkana County.

Project proposals received in response to this Call for Proposals will be evaluated based on their relevance in relation to the following priority issues:

***Window 1***

* The proposed project clearly addresses skills development, livelihood and training as a clear and measurable means to provide more and better job opportunities for refugee youth and host communities in Turkana.
* The proposed project promotes market-driven entrepreneurship and business development and draws on innovative approaches, including engagement of local key stakeholders from for example civil society, youth organizations, business communities/unions and the private sector active in Turkana County.
* The project design is informed by a comprehensive assessment of approaches that have worked so far and demonstrate a clear potential for scaling up once sustainability has been assured.
* The potential areas for support may include various business development initiatives in areas that have proven feasible for further economic development and also in supporting improved natural resource and range management within the mobile livestock sector, building on traditional approaches, systems and institutions.
* The potential area for support may include project aiming at improving production and productivity in the livestock and in the crop sectors, enhance market linkages and otherwise strengthen the livestock and agriculture value chains. This may also include access to water. The project is aligned with the priorities of the County Government and the 2nd phase of KISEDP.
* Ensure that the target communities are refugees and host communities within Turkana County and also includes communities specifically in refugee hosting areas of Turkana West Sub-County.
* The proposal includes a clear and realistic results framework (including clear and attainable output and outcome targets, indicators and means of verification), reflecting qualitative and quantitative attributes of achieving the ambition of self-reliance and resilience building.

***Window 2***

* The proposal clearly addresses Safe drinking water installation and water supply for activities in *Window 1.*
* The proposal promotes WASH activities and describes the method to measure the effects of access to Safe Water and water supplies for development and livelihood.
* The project includes an assessment of the optimal conditions for establishing boreholes/wells based on Hydro Geologic methods including computer hydraulic modelling like EPANet or equivalent. The data from the Hydro Geologic measurements must be shared with the local authorities and other relevant stakeholders.

The infrastructure works must follow and meet the minimum standards for water installations as set by the national government and relevant authorities including Environmental Impact Assessments, design and Bill of Quantities, BoQs.

* The project must contain full descriptions of the anticipated monitoring systems for water production, Up-time of the systems, ground water levels and for community systems, also the financial development for the system.
* The project must put in place sustainability measures that ensure the water supply for each system 24/7 at least 90% of the time (documented).
* The data from each installation must be available for the Kenyan authorities and donor for at least 5 years after commissioning.
* The project must incorporate Community Led Total Sanitation (CLTS) and document the impact of the same to community health and livelihoods improvement.
* The proposal should must include a clear and realistic results framework (including clear and attainable output and outcome targets, indicators and means of verification), reflecting qualitative and quantitative attributes of safe water part as well as the water supply for activities in *Window 1.*

Cross-cutting elements:

* *Environmental Protection & Climate Change:* TurkanaCounty is located in the northwest of Kenya, an area which is vulnerable to the effects of climate change. Unpredictable weather patterns, such as long periods of drought followed by heavy rainfall, are leading to less predictable conditions, including on food and water security. It is also recognised that the impact of large number of refugees within the camp settings has contributed to environmental degradation and de-forestation, due to firewood being used as the main cooking fuel. Hence the proposed activities must consider environmentally friendly strategies and adaptability to climate change.
* *Gender equality and empowerment of women and girls*: Gender equality is considered a deliberate objective throughout the programme, hence all activities must actively apply specific targeting and gender sensitive inclusion.
* *A nexus approach:* Activities will be implemented in accordance with a nexus approach so that humanitarian and development challenges are addressed in an integrated way. In this context, it will also mean that longer-term development is given relative priority, and it will mean that support is balanced among refugees and host communities. Further, the project will be implemented in accordance with a gender transformative approach, when possible and relevant.
* *Conflict sensitivity, peace and resilience*: Conflict sensitivity and peace are elements that must be reflected through the proposed project. While not classified as severe, conflict exists between refugee and host communities, as well as within each group. In addition, it is key to ensure all activities are presented and discussed with community leaders from both communities, to ensure no misconceptions arise, especially with regard to access to resources, considered as a key driver of conflict.

**Eligibility criteria**

Organisations who meet the below eligibility criteria can apply for this grant. Applicants are strongly encouraged to form joint partnerships and/or consortia for this assignment to cover the described priorities comprehensively and provided the thematic, geographical, and management logic. Any such arrangement must have a lead partner.

The application/Lead Applicant will be assessed based on the following criteria:

1. Proposals must be submitted by a single Lead Applicant **or** by a Lead Applicant representing a consortium. For each window, an applicant can only apply once – i.e. either as a Lead applicant **or** as a consortium member.
2. The Lead Applicant must be a **recipient of humanitarian or development funds from The Ministry of Foreign Affairs**. This is to ensure that the applicant is continuously monitored in accordance with the Danish Ministry of Foreign Affairs’ financial monitoring obligation.
3. The Lead Applicant has successfully undergone a formal **capacity assessment** (appraisal, review, financial monitoring visit or similar) by Danida within the **last five years** to demonstrate its capacity to manage significant financial contributions from Danida. Capacity assessment documentation can be in the form of a Danida review report, a Danida monitoring visit report, a Danida approval of support under other funding modalities/mechanisms etc.
4. The Lead Applicant is **partnering with one or more local organisations** for the implementation of the proposed activities. The proposal shall clearly describe the defined roles and responsibilities for each of the partners in the proposal. The local partner(s) shall be identified at the time of submission of the proposal and a Local Partner Assessment is a mandatory annex to the proposal.
5. The Lead Applicant and partners have documented **presence,** **experience and sufficient capacity in the specific geographic context (Turkana County, Kenya) and sectors (livelihood, water and sanitation and climate adaptation).**
6. The Lead Applicant has **conducted communication and information activities in Denmark** within the **last five years**. This is to support efforts to strengthen Danish public commitment to development assistance.

During assessment of the proposals, the funding partners may request further documentation on the financial situation of the applicant(s) or other relevant matters.

**Evaluation criteria**

The evaluation of the proposal will be based on the following criteria:

|  |  |
| --- | --- |
| **Section** | **Maximum Score** |
| **1. Operational capacity** | **20** |
| 1.1 Do the applicants and, if applicable, their affiliated entity(ies) have sufficient in-house experience and capacity for project management of the approach proposed, including planning, monitoring and reporting skills? Is this evident from the references provided? Can the applicants document design standards to incorporate in their approach to solutions? | 5 |
| 1.2 Do the applicants and, if applicable, their affiliated entity(ies) have sufficient technical in-house capacity and expertise from similar assignments, especially knowledge of the issues to be addressed like remote solar driven water treatment systems and power supply? Is this evident from the references provided? | 5 |
| 1.3 Do the applicants and, if applicable, their affiliated entity(ies) demonstrate the ability to work in Kenya and Turkana and with a mix of stakeholders, including national and county government and national and local civil society? Is this evident from the references provided? Is the localisation agenda sufficiently addressed including identification of a local implementing partner? | 5 |
| 1.4 Does the (lead) applicant demonstrate sufficient experience and capacity in grant management/sub-granting? Is sub-granting included amongst the applicant’s references? | 5 |
| **2. Relevance** | **20** |
| 2.1 How relevant is the proposal to the objectives and priorities of the call for proposals? Are the expected results of the action aligned with the defined objectives? | 5 |
| 2.2 How relevant is the proposal to the particular needs and constraints of the target groups (including synergy with other development initiatives and avoidance of duplication)? | 5 |
| 2.3 How clearly defined and strategically chosen are those involved (final beneficiaries, target groups, counterparts)? Have their needs (as rights holders and/or duty bearers) and constraints been clearly defined and does the application address them appropriately? Does the applicant demonstrate previous experience from collaboration with national/county authorities? | 5 |
| 2.4 Are gender considerations well articulated and targeted in the approaches? Are considerations around Youth well articulated and targeted in the approaches? Are broader concerns around human rights well articulated? | 5 |
| **3. Design** | **15** |
| 3.1 How coherent is the overall design? Does the proposal specify relevant and realistic results to be achieved? For water project can excellences in design, equipment selection, construction implementation and Operation and Maintenance be documented?  Does the intervention logic include an appropriate theory of change for the expected results? Are the indicative activities proposed appropriate, practical, and consistent with the envisaged outputs and outcome(s)? Are synergies with the other programmes (including government) clearly articulated, including in relation to the theory of change?  Does the applicant have experience in sizing and design for Solar powered energy supply, potentially combined with a second energy source. Grid or Generators? | 5 |
| 3.2 Does the design reflect a robust analysis of the problems involved and an innovative approach to addressing the challenges in relation to climate change and the various thematic areas? Is this response clearly articulated and justified and related to the theory of change? Is it realistic and achievable? | 5 |
| 3.3 To what degree does the proposed project design take identified risks and assumptions into account and propose realistic and applicable mitigation strategies? | 5 |
| **4. Implementation approach** | **15** |
| 4.1 Is the applicant’s approach to delivering the desired outcomes, i.e. capability to operate in refugee hosting areas and skills within the field of operation sufficient to achieve the desired results (also based on references provided)? Are arrangements with possible partners/sub-partners adequately described and do they demonstrate a clear division of labour with distinct but complementary roles? Are arrangements for possible sub-granting clearly described? | 5 |
| 4.2 Does the proposal include an effective and efficient monitoring system? Is there an evaluation or review planned (baseline, mid-term or/and at the end of the implementation)? Are approaches to adaptability and flexibility that respond to possible contextual changes, changes in assumptions, experience and lessons learned adequately described? Can the data generated be available for all relevant stakeholders including Authorities and service providers. | 5 |
| 4.3 Is the proposal designed in accordance with a nexus approach so that humanitarian and development challenges are addressed in an integrated way? In this context, is longer-term development given relative priority? And is support to refugee and host communities provided in a balanced way? | 5 |
| **5. Sustainability** | **10** |
| 5.1 Is the proposal likely to have a lasting impact on beneficiaries and target population and are considerations around sustainability articulated? | 5 |
| 5.2 A long term plan for turning the project into a sustainable and resilient system, if not possible within the 3 years project period. | 5 |
| **6. Budget and cost-effectiveness** | **10** |
| 6.1 Are the proposed outcome areas and outputs appropriately reflected in the budget? Is there a reasonable balance between them? | 5 |
| 6.2 Is there an appropriate balance between operational costs and administrative costs? | 2 |
| 6.3 Is the suggested financial reporting system able to combine/compare budget expenditure with completed activities and obtained results (i.e. being able to follow-up on output-based budgeting)? | 3 |
| 1. **Local partnerships** | **10** |
| 7.1 How well is the choice of local partner(s) aligned with the purpose, objectives and priorities described in the Call for Proposal? For the water and sanitation part, is local job creation included in construction and maintenance part? | **5** |
| 7.2 How is the local partner involved in the implementation of the engagement, including considerations of distribution of roles and responsibilities in the proposed project as well as the proportion of the budget transferred to partners and used locally? | **5** |
| **Maximum total score** | **100** |

**Duration and grant size**

Subject to parliamentary approval, the overall amount for this call is DKK 80 million, which is divided between the two funding windows; DKK 50 million for window one and DKK 30 million for window two.

Project proposals received in response to this Call for Proposal should address both windows. For both windows, the planned duration for the project should not be less than 24 months and cannot exceed 36 month (2023-2026).

**Application and selection process**Lead applicants are invited to submit a full application and use this *application form.* Applicants must apply in English.

Applications will be examined and evaluated by the Royal Danish Embassy in Nairobi and Poul Due Jensen/Grundfos Foundation. The process will be as follows: First, all applications will be submitted to an administrative check to verify that the deadline has been met and that the proposal satisfies the criteria specified in the checklist. If any of the requested information is missing or is incorrect, the application will be rejected on that sole basis and the application will not be evaluated further.

Proposals that pass the criteria of the administrative check will be evaluated on the basis of an evaluation grid (see above). The maximum score possible is 100 using the breakdown in the evaluation grid. The evaluation criteria are divided into headings and subheadings. Each subheading will be given a score between 1 and 5 as follows: 1 = very poor; 2 = poor; 3 = adequate; 4 = good; 5 = very good.

The applicant(s) will be expected to develop its proposal into an operational project plan providing the basis upon which Denmark’s support to self-reliance and resilience building in Turkana will be implemented. Based on this project plan, the Embassy and Grundfos Foundation will develop a Development Engagement Document (project document) setting out the details of the management arrangements between the Embassy, the Grundfos Foundation and the winning applicant(s).

The funding partners, the Royal Danish Embassy in Nairobi and the Poul Due Jensens/Grundfos Foundation, reserve the right to reject applications that are not conform with these instructions. Please pay attention to the application checklist at Annex 2.

The full proposal and attachments should be sent by email no later than **12/05/23 at 17:00 (CET)** to the Royal Danish Embassy in Nairobi [metmel@um.dk](mailto:metmel@um.dk) and Nils Thorup, Grundfos Foundation, [nthorup@grundfos.com](mailto:nthorup@grundfos.com)

Questions in relation to the specific Call for Proposals from potential applicants may be submitted in writing only to [metmel@um.dk](mailto:metmel@um.dk) and [nthorup@grundfos.com](mailto:nthorup@grundfos.com) no later than **14 April 2023 at 16.00 (CET).** For the sake of transparency, all answers to questions received in writing from potential applicants will be published on the Call for Proposal MFA website by 20 April 2023. No individual replies will be given to questions received from potential applicants.

Once the proposals have been assessed, a list will be drawn up with the applications ranked according to their total score. Results will be communicated to the applicants on **8 June 2023**.

**Tentative timetable for the application and selection process**

|  |  |  |
| --- | --- | --- |
|  | DATE | TIME (CET) |
| 1. Call for Proposals sent out | 05/04/2023 | 15:00 |
| 2. Questions on the Call for Proposals | 14/04/2023 | 16:00 |
| 3. Answers to received written questions to be published on the MFA website | 20/04/2023 | 16:00 |
| 4. Deadline for submission of full proposals | 12/05/2023 | 17:00 |
| 5. Notification of winning proposal | 08/06/2023 | 12:00 |
| 6. Signature of grant agreement/Project document | No later than 15/06/23 | - |

**Section 1: Project context and objective**

Context in Turkana[[1]](#footnote-2): Refugees face restrictions to work and move outside the camps, which severely impacts their ability to participate in the labour market. All refugee and host community businesses require permits and annual renewals from both the national and county governments as well as other requirements, including Kenya Revenue Authority registration and enrolment in the NHIF and the National Social Security Fund (NSSF). These requirements are both expensive and cumbersome thus discouraging many potential entrepreneurs from starting new business ventures.

Access to financial services is still very low among both the host and refugee communities, with only 11 per cent of refugee households operating a regular bank account; only two commercial banks, Equity Bank and Kenya Commercial Bank, are operational in the area, along with one private microfinance company, Inkomoko. While refugees are permitted to open bank accounts in Kenya, the Proceeds of Crime and Anti-Money Laundering Act, 2009 and the “Know Your Customer” regulations in place result in most banks refusing to recognize refugee ID cards as a sufficient form of identification for opening bank accounts, despite the fact that Article 28(7) of the Refugees Act, 2021 states that the refugee ID card “shall at a minimum have a similar status to the Foreign National Registration Certificate issued under section 56(2) of the Kenya Citizenship and Immigration Act for the purposes of accessing the rights and fulfilling obligations under this law.” These barriers limit refugees’ access to both conventional and digital banking services, including mobile banking services such as M-Pesa and Airtel Money, though the mobile banking system is currently used more than formal banking services, with some 43 per cent per cent of refugee households having access to mobile banking, which is however still far lower than the Kenyan average of 73 per cent. Limited organizational capacity of local CBOs and RLOs to spearhead local socio-economic development. People living with disabilities and their caregivers are inadequately targeted by employment services, making them more susceptible to variant shocks.

Article 43(1) of the 2010 Constitution of Kenya stipulates that every person should have access to clean and safe water in adequate quantities, as well as reasonable standards of sanitation. In Turkana county 48.2 per cent of households have access to safe drinking water, and 24.3 per cent have access improved sanitation.

The primary source of water for household and livestock in Turkana County are boreholes, dams, water pans, shallow wells, and springs. Due to recurrent and frequent droughts in the county, most of these water sources dry up, heightening water crisis in the county. For example in 2022, the prolonged droughts, led to around 95% of these water sources used by pastoral communities drying up, causing major water crisis with approximately 556,000 people exposed and needing water assistance in the County. During the drought period, most of the people have an average consumption of 10L per person per day, which is half the average consumption under normal conditions or as per the global per capita consumption person per day. The water crisis is aggravated by increased salinity levels of the borehole water due to over abstraction and more so by insecurities occasioned by high demand for water during the droughts season. The situation exposes the affected communities to water borne, and water related illness due to consumption of unsafe water, and poor hygiene practices. This has been the trend over the years thus calling for long-term solutions to end drought emergencies in the water sector.

***Project objective***

*Effective and prioritised support to self-reliance including to expanded economic opportunities and financial inclusion is provided with a focus on strengthening resilience and climate adaption capacity of refugees and host communities in Turkana County.*

*Budget at outcome level*

The Danish government funding (subject to parliamentary approval) allocated to the project outcome is set at DKK 50 million (window 1) for three years of implementation. Additionally, the Poul Due Jensen/ Grundfos Foundation (window 2) funding amounts to DKK 30 million over 3 years.

**Successful applicants will:**

1. Demonstrate how they can and will suggest project activities to enhance target populations ability to be self-reliant and build resilience including the cross-cutting issues of women, youth, human rights, and climate (as appropriate).
2. Demonstrate an approach that utilises learning and is adaptive in response to this learning and possible changes in assumptions, risks, context, and other factors that may emerge.
3. For the Water/livelihood/WASH element sustainability and climate change addition must be demonstrated, not necessary in the 3 years project period, but a plan for the future will be requested.
4. Build on Denmark’s efforts to increase the resilience of communities against the effects of climate change.
5. Establish synergies between engagements working on inclusive refugee responses and other Danish/Danida funded programmes, including the high level project partners (Danish Ministry of Foreign Affairs, Kenyan Authorities, Novo Nordisk Foundation, Lego Foundation and Poul Due Jensen/Grundfos Foundation) but also on the local and implementing level and between the programmes.
6. Serve as a grant manager on behalf of the Embassy and Poul Due Jensen/Grundfos foundation working with local partners to strengthen capacities and effectiveness in building resilience.
7. Demonstrate the capacity to engage with local level actors, and have strong collaborative contacts with national, county and sub-county government entities. Applicants that demonstrate strong working partnerships with national and county government entities will be favourably evaluated. They must also incentivise collaboration within and/or between civil society and state authorities to implement joint initiatives, overcome divisions, and strengthen their collective influence and capabilities. This will include involvement in coordination and consultative fora, where these exist and are relevant, as well as alignment with strategies and policies at national and county level.
8. Directly support the meaningful and sustainable inclusion of women and girls.
9. Harmonise where relevant with other Danish initiatives (e.g in relation to green, sustainable and inclusive growth; democratic governance and human rights; and equitable access to services; as well as initiatives covered by the Danish Peace and Stabilisation Fund), so that synergies and cohesion are optimised. Nb. Applicants may limit themselves to demonstrating how they will approach this in the proposal. It will be an aspect that is further addressed once the winning applicant has been selected.
10. Applicants must demonstrate how they apply a conflict sensitive approach, Do No Harm, and how they provide Duty of Care.

Section 2: Organisational Data

**A: LEAD APPLICANT ("the main beneficiary")**

|  |  |
| --- | --- |
| **Contact details** | |
| **Organisation's** name |  |
| Legal status |  |
| Postal address |  |
| Telephone |  |
| E-mail |  |
| Webpage |  |
| **Project responsible** |  |
| Name & title |  |
| Telephone |  |
| E-mail |  |

**B: OTHER PARTNER(S) OR AFFILIATED ENTITIES (complete as appropriate)**

|  |  |
| --- | --- |
| **Contact details** | |
| **Organisation's** name |  |
| Legal status |  |
| Postal address |  |
| Telephone |  |
| E-mail |  |
| Webpage |  |
| **Project Responsible** |  |
| Name & Title |  |
| Telephone |  |
| E-mail |  |

|  |  |
| --- | --- |
| **Contact details** | |
| **Organisation's** name |  |
| Legal status |  |
| Postal address |  |
| Telephone |  |
| E-mail |  |
| Webpage |  |
| **Project Responsible** |  |
| Name & Title |  |
| Telephone |  |
| E-mail |  |

Section 3: Detailed project description

***Maximum 20 pages (exclusive of annexes for references and the results framework)****.*

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| --- |
| **Project Title and Geographical Coverage** |
| *Short project title including name of countries/region in which the project will take place (maximum 80 characters)* |
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| **Project Summary** |
| *Summary of the project. Maximum 20 lines. Include objectives, target group(s), key stakeholders and**how the project contributes to relevant Sustainable Development Goals (SDGs).* |
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| --- | --- |
| **Project description** | |
| Background and context | *The background should provide the strategic justification for the project. It will include: Description of the context in which the project will operate; key challenges and opportunities within the spe­cific context; possible scenarios (if relevant); coherence with national, regional and/or international policies, strategies and priorities; synergies with other development initiatives including other Danish Government funded programmes; needs and constraints of the target groups.* ***Please provide the background and strategic justification for the project – including a brief description of key stakeholders.*** |
| Overall objective | *This is set by the Danish Embassy and is Effective and prioritised support to self-reliance including to expanded economic opportunities and financial inclusion is provided with a focus on strengthening resilience and climate adaption capacity of refugees and host communities in Turkana County.* |
| Theory of Change | *Drawing from the strategic justification, describe the overall change logic and key assumptions underpinning the proposal. What conditions need to be in place for it to succeed? Describe what the intended target groups/beneficiaries will gain from the project and explain why.* ***Please specify the Theory of Change for the project, including main assumptions (guidance can be found in Annex 3).*** |
| Intervention logic and key results | *Describe the intervention logic – what are the expected results at outcome and output level Please suggest possible indicators for outcomes and outputs. Please limit the number of outcomes (perhaps one per thematic area).* ***Please use the results framework format attached in Annex 4****.* |
| Main activities and time frame | *Describe the outcomes and the theory of change that applies to them (overall time frame is from July 2023 to June 2026). Include indicative activities. Show how the suggested activities are coherent with the expected results and also reflect the suggested methodological approach, including how cross-cutting/added value issues will be addressed (i.e. women, youth, climate and HRBA).* ***Please specify the extent and responsibility for each activity and its expected outputs and outcome – and use this also as the basis for budgeting (principle of output-based budgeting).*** |
| Applicants capacity to deliver the desired outcomes | *The applicant’s and potential partners’ experience with the thematic and geographical area and other relevant experience. Capacity to operate as a neutral, third-party conflict mediator in conflict prone and fragile environments. Capacity to administer sub-grants, where relevant.* ***Please include 3 references for similar assignments, ideally relating to similar thematic areas and modalities. A suitable format is attached at Annex 5.*** *In addition,* ***please also specify the key personnel suggested and include short bios for these.*** |
| Implementation approach;  organisation and management; M&E, Adaptation, Learning (MEAL) | *Describe the specific role and distribution of responsibilities between the lead applicant and potential partners (and possible sub-grantees) including key contributions. Describe overall project organisation and management; envisaged governance arrangements, collection of baseline data, monitoring and evaluation procedures etc.* ***Please make a detailed description of the suggested monitoring and reporting procedures to report on progress and impact based on the chosen indicators.*** |
| Major risks and mitigating measures | *Describe key risks (contextual, programmatic and institutional) incl. risks related to potential negative impact of the action on cross-cutting issues (women, youth, human rights, climate, and also anti-corruption). Which mitigating measures will be taken?* ***Please detail the suggested risk management plan.*** |
| Sustainability | *Describe the conditions and potential for sustaining and for scale-up, including how the project will affect the beneficiaries in the longer term. How can the development effects of the project be sustained?* ***Please elaborate on the prospects of sustainability of the various elements of the project and suggest possible exit strategies.*** |
| Project costs and financial management | *Present total project cost and expected contribution from Denmark at output level. Indicate if the project is supported by other donors (or if parts of possible non-eligible project costs are covered from outside sources). If sub-granting is to be used as part of the methodology, the amounts involved should be shown.* ***Please provide a budget specifying the expected costs of the suggested outcomes and outputs using the principle of output-based budgeting. Please also specify how you will ensure and report on cost-effectiveness. See also section 4 below.*** |

Section 4: Budget

The budget should be provided in DKK.

The budget serves both as a cost estimate and an overall ceiling (in DKK) for eligible costs. At this stage in the process, an indicative budget is required based on the expectation that this will be developed further once the project document is developed. The budget shall be output-based and also distinguish clearly between operational costs (i.e. costs of activities) and administrative costs in relation to project results and outcome.

For this proposal, applicants may design their own budget table provided it is set out logically showing:

* Indicative operational costs per year per outcome area and according to output.
  + Please note. The budget should include all costs associated with the activities necessary for the delivery of the outputs funded under the development engagement for the period covered. This should be aligned to the results framework. Where sub-granting is envisaged, this should also be shown.
* Indicative administrative costs per year.
  + Please note. It can be difficult to allocate all costs to a specific output. Therefore, the budget may also include an administrative cost to cover unspecified administrative costs such as rents, office expenses, etc. The administrative cost should cover non-activity specific cost and should not surpass 7% of the direct costs of the activities,
* Indicative costs for reviews, monitoring etc.
* Any funds set aside for contingencies (up to 10%)
* Audit costs.

Reference is made to Danida’s [Financial Guidelines](https://amg.um.dk/bilateral-cooperation/financial-management) (Ctrl+click to follow link) for accounting and auditing of grants channelled through Danish Ministry of Foreign Affairs. For the purposes of this proposal, these guidelines should be taken as inspiration as a full budget at activity level is not required at this stage.

Eligible costs

Only eligible costs can be covered by a grant. The categories of costs that are eligible and non-eligible are indicated below.

To be eligible under this call for proposals, costs must meet all of the following criteria:

* Actual costs incurred by the grant recipient during the implementation of the project
* Indicated in the estimated overall budget for the project
* Necessary for the implementation of the project
* Identifiable and verifiable (in particular being recorded in the accounting records)
* Comply with the requirements of applicable tax and social legislation
* Reasonable, justified and comply with the requirements of sound financial management

Contingencies

The budget may include a budget line for contingencies not exceeding 5 % of the estimated direct eligible costs. It can only be used to cover unforeseen expenses for planned activities with the prior written authorisation of the contracting authority.

Eligible indirect costs (Administration Fee)

The indirect costs incurred in carrying out the action must not exceed 7% of the total eligible direct costs. Indirect costs are eligible provided that they do not include costs assigned to another budget heading in the grant agreement. The lead applicant may be asked to justify the percentage requested before the grant agreement is signed.

Section 5: Lead applicant declaration

**The lead applicant, represented by the undersigned, being the authorised signatory of the lead applicant, and in the context of the present application, representing any co-applicant(s) and affiliated entity(ies) in the proposed action, hereby declares that:**

1. The lead applicant has the sources of financing and professional competence and qualifications needed to undertake the action.
2. The lead applicant is directly responsible for preparation, management and implementation of the action with the co-applicant(s)/partners, if any (including *duty of care* of involved employees), and is not acting as an intermediary.
3. The lead applicant and each co-applicant and affiliated entity are in a position to deliver, upon request, the following supporting documents: documentation of legal entity and statutes, and (only for the lead applicant) audited annual reports for the last two financial years; a copy of the latest profit and loss accounts.
4. The lead applicant and each co-applicant(s) and affiliated entity(ies) (if any) are eligible in accordance with the criteria set out under Section 1: Instructions for grant applicants.

We acknowledge that if the declarations or information provided prove to be false we may be subject to rejection from this procedure.

Signed on behalf of the lead applicant

|  |  |
| --- | --- |
| **Name** |  |
| **Signature** |  |
| **Position** |  |
| **Date** |  |

Annex 2: Application checklist

The checklist below can be used to pre-check the eligibility of the application.

|  |  |  |
| --- | --- | --- |
| 1. |  | The applicant and any partners are registered legal bodies |
| 2. |  | The applicant and any partners are not be affected by any conflict of interest |
| 3. |  | All relevant sections of the Application Form are filled out and the application is within the prescribed page limit |
| 4. |  | The application includes relevant references |
| 5. |  | The application includes relevant bios for key staff |
| 6. |  | The application is dated and signed by the main applicant |
| 7. |  | The applicant and any partners have proven experience from working within refugee hosting areas in Kenya |
| 8. |  | The applicant and any partners have proven experience from working with livelihood, women, youth, human rights, and climate. |
| 9. |  | If the applicant is a partnership or consortium, a clear distribution of roles and tasks has been described |
| 10. |  | The applicant, including any partners, demonstrates that it applies conflict sensitivity, Do No Harm, and Duty of Care. |
| 11. |  | The applicant and any partners demonstrate trusted relationships national and local partners, including government authorities and civil society |
| 12. |  | The applicant’s proposal has a clear intervention logic that clarifies the targeted change processes and the main assumptions |
| 13. |  | The applicant’s proposal demonstrates a clear strategy for local capacity building, sustainability, and exit |
| 14. |  | The main applicant and other involved partners are able to fully comply with Danida’s zero-tolerance policy towards corruption |
| 15. |  | The applicant and any partners respects human rights as well as environmental legislation and core labour standards |
| 16. |  | The applicant’s proposal describes arrangements for sub-granting |
| 17. |  | The applicant’s proposal includes an output-based budget |

Annex 3 [How to develop a theory of change](https://amg.um.dk/-/media/country-sites/amg-en/bilateral-cooperation/guidelines-for-country-strategic-frameworks-programmes-and-projects/standard-annexes/annex-3-theory-of-change-scenario-and-results-framework.ashx) (Ctrl+click to follow link)

Annex 4 Results framework template

**Introduction**

The template is intended to facilitate a uniform presentation of results frameworks. It is important to note that the framework provided will be indicative and the final framework will be agreed upon between the Embassy in Nairobi, Grundfos Foundation and the successful applicant/lead partner in the case of a consortium. In line with principles of adaptive programming the results framework may also subject to adjustments and change throughout the implementation subject to agreement between the embassy and the partner.

**Building Blocks**

The results framework consists of a number of standard building blocks (see below), representing different levels of results monitoring.

The example below can serve as templates after adding or deleting building blocks according to the needs of the individual user.

Baselines and targets should be related (i.e. referring to the same indicator) and specific and measurable.

**Please note** that baselines and targets are **NOT** required at the proposal stage.

Guiding definitions:

**Output:** An output is the direct result of an activity. Characteristic: Fully controlled by implementing partner (e.g. number of persons trained, buildings constructed, guidelines produced)

**Outcome:** The use made of an output (= immediate result). Characteristic: Outside the immediate control of the implementer (e.g. refers to how training has affected the working procedures in an organisation, how the buildings are being used, or how the guidelines are applied in practice).

|  |  |
| --- | --- |
| Engagement | Promotion of climate adapted self-reliance and resilience in refugee hosting areas/Turkana County through economic development and financial inclusion activities |
| Engagement Objective | Effective and prioritised support to self-reliance including to expanded economic opportunities and financial inclusion is provided with a focus on strengthening resilience and climate adaption capacity of refugees and host communities in Turkana County. |
| Impact Indicator | [Quantitative or qualitative factor or variable that provides a simple and reliable means to measure achievement or to reflect the changes connected to an intervention] |

|  |  |  |  |
| --- | --- | --- | --- |
| Baseline | Year | 2022 | [Situation prior to engagement activities] |
| Target | Year | 2026 | [Intended situation by the end of engagement (phase)] |

|  |  |  |  |
| --- | --- | --- | --- |
| Outcome area | | [Title of the outcome area] | |
| Outcome | | Improved self-reliance, expanded economic opportunities, and overall strengthened resilience and climate adaptation capacity for refugees and host community in Turkana County | |
| Outcome indicator | | A minimum of 50.000 people (refugees and hosts) improve self-reliance and resilience through prioritised project activities in support of economic development and financial inclusion. MOV will be direct monitoring/reporting from partners to be involved in project implementation | |
| Baseline | Year | 2022 | [Situation prior to engagement activities] |
| Target | Year | 2026 | [intended situation by the end of engagement (phase)] |

|  |  |  |  |
| --- | --- | --- | --- |
| Output | | Target communities (refugees and hosts) are capacitated and benefitting from involvement in sustained economic development activities | |
| Output indicator | | [Quantitative or qualitative factor or variable that provides a simple and reliable means to measure achievement or to reflect the changes connected to an intervention] | |
| Baseline | Year |  | [Situation prior to engagement activity] |
| Target | Year 1 |  | [Intended situation after first year of implementation] |
| Target | Year 2 |  | [Intended situation after two years of implementation] |
| Target | Year 3 |  | [Intended situation after three years of implementation] |

|  |  |  |  |
| --- | --- | --- | --- |
| Output | | [Short-term result in the form of goods and services which result from an engagement activity] | |
| Output indicator | | [Quantitative or qualitative factor or variable that provides a simple and reliable means to measure achievement or to reflect the changes connected to an intervention] | |
| Baseline | Year |  | [Situation prior to engagement activity] |
| Target | Year 1 |  | [Intended situation after first year of implementation] |
| Target | Year 2 |  | [Intended situation after two years of implementation] |
| Target | Year 3 |  | [Intended situation after three years of implementation] |

Annex 5 – Project references

|  |
| --- |
| **Project references** |
| Insert three recent references for similar projects implemented (or currently under implementation) by the main applicant: |

|  |  |  |  |
| --- | --- | --- | --- |
| **Reference No. 1** |  | | |
| Project title |  | Country/region |  |
| Name of client |  | Dates (start/end) |  |
| Project value mill. DKK |  | Share implemented by applicant % |  |
| Consortium members |  | | |
| Description of Project (max 1/3 page) |  | | |
| Types of services pro­vided by applicant |  | | |
| Name, email and phone number of contact per­son |  | | |

|  |  |  |  |
| --- | --- | --- | --- |
| **Reference No. 2** |  | | |
| Project title |  | Country/region |  |
| Name of client |  | Dates (start/end) |  |
| Project value mill. DKK |  | Share implemented by applicant % |  |
| Consortium members |  | | |
| Description of Project (max 1/3 page) |  | | |
| Types of services pro­vided by applicant |  | | |
| Name, email and phone number of contact per­son |  | | |

|  |  |  |  |
| --- | --- | --- | --- |
| **Reference No. 3** |  | | |
| Project title |  | Country/region |  |
| Name of client |  | Dates (start/end) |  |
| Project value mill. DKK |  | Share implemented by applicant % |  |
| Consortium members |  | | |
| Description of Project (max 1/3 page) |  | | |
| Types of services pro­vided by applicant |  | | |
| Name, email and phone number of contact per­son |  | | |

1. KISEDPII, 2023 [↑](#footnote-ref-2)